



Look
forward to
retirement!

Retirement Investors' Club (RIC)
457/401a Plans

401a Roll-in Form

You may request to move money from an existing eligible retirement plan into your RIC 401a account. This is a nontaxable transfer. Please contact your previous plan administrator to determine if you must complete any required forms before the plan will release the funds.

Personal Information	Name _____ Social Security # _____ <small>Last First MI</small> Telephone (work) _____ Telephone (home) _____
Roll-in Instructions	Roll my previous retirement account into my RIC 401a account with: <input type="checkbox"/> Hartford Life <input type="checkbox"/> Horace Mann <input type="checkbox"/> ING <input type="checkbox"/> Security Benefit <input type="checkbox"/> TIAA-CREF <input type="checkbox"/> VALIC
Previous Plan Information The previous plan administrator must complete this section	Plan type: <input type="checkbox"/> IRA (Traditional, Rollover, Simple) <input type="checkbox"/> 401(k) <input type="checkbox"/> 401(a) <input type="checkbox"/> 403(b) <input type="checkbox"/> 403(a) <input type="checkbox"/> SEP <input type="checkbox"/> Other qualified plan _____ Previous Employer Name (if applicable) _____ Account Number _____ Approximate rollover amount \$ _____ Previous plan administrator/trustee/custodian authorization I am the Plan Administrator or Trustee for the previous plan named on this form. I affirm that the plan is eligible to make this direct rollover. Signature _____ Date _____ Printed Name _____ Phone # _____ Company Name _____ Fax # _____ Address _____ City, State, Zip Code _____
Participant Signature	I understand that only certain types of distributions are eligible for rollover treatment and that it is solely my responsibility to ensure such eligibility. I understand my transferred funds will be subject to the rules and restrictions of the RIC 401a account. X _____ <small>Signature Date</small>
Submit Form	Please submit this completed form, along with a copy of any forms required by your previous plan Mail to: DAS-HRE Attention: RIC 1305 E. Walnut Des Moines, IA 50319 Fax: 515-281-5102 Scan/email: terri.marshall@iowa.gov

