



Sole Source Procurement Justification Form

Sole source purchases can ONLY be made if one of the sole source circumstances noted below applies. If the sole source procurement is for a service, please complete and submit this form to the DNR Purchasing Agent prior to your purchase. If the sole source procurement is for goods, DAS approval is required prior to your purchase. All sole source goods purchases MUST be posted on the DAS Bid Opportunity website for 5 business days prior to seeking DAS approval. Please work with the DNR Purchasing Agent to ensure your opportunity is posted as required. Please complete and submit the DAS sole source form found on the following website http://das.gse.iowa.gov/procurement/forms/solesource_form.php after your opportunity has been posted for 5 business days. DAS will notify you via email regarding the approval or denial of the request. The sole source documentation will be provided to you by DAS and it must be submitted with your invoice for payment.

See Administrative rules **Chapter 11—118** for detailed information regarding 'sole source procurement'.

A sole source procurement shall be avoided unless clearly necessary and justifiable. The DAS director or designee may exempt the purchase of services from competitive selection processes when the purchase qualifies as a sole source procurement as a result of the following circumstances:

1. One vendor is the only one qualified or eligible or is quite obviously the most qualified or eligible to provide the service; or
2. The procurement is of such a specialized nature or related to a specific geographic location that only a single source, by virtue of experience, expertise, proximity, or ownership of intellectual property rights, could most satisfactorily provide the service; or
3. Applicable law requires, provides for, or permits use of a sole source procurement; or
4. The federal government or other provider of funds for the service being purchased (other than the state of Iowa) has imposed clear and specific restrictions on the use of the funds in a way that restricts the procurement to only one vendor; or
5. The procurement is an information technology service that is systems software or an upgrade, or compatibility is the overriding consideration, or the procurement would prevent voidance or termination of a warranty, or the procurement would prevent default under a contract or other obligation.
6. Other circumstances for services exist as outlined in IAC 11-106.7(8A).

All fields below are required.

DNR Contact Name: Kathryne Clark Phone: 515-725-8354
 Email: Kathryne.Clark@dnr.iowa.gov
 Vendor: Environmental Systems Research Institute (ESRI)
 Amount: \$60,192.62

1. Has this service already been purchased? Yes No
2. Has the vendor ever been an employee of the State of Iowa? Yes No

If yes, please explain the nature of the employment and period of service.

Annual maintenance renewal on existing ArcGIS software. This is not a new purchase. The DNR previously purchased, and currently maintains, 133 licenses of various types. The range of purchase dates runs from 1996 to 2015. This request is to pay for the maintenance of the entire pool (known) of DNR licenses. The license maintenance costs for the Forestry and Wildlife bureaus have received sole source approvals earlier this year. This consolidated maintenance bill includes a pro-rated amount for the Wildlife and Forestry licenses that were formerly billed separately. (Please see attached list of licenses.)

The DNR is currently researching the possibility of an enterprise license agreement for future license purchases and maintenance.

3. Description of service:

Software maintenance is necessary to acquire upgrades and to access software support.

4. Explain why the recommended vendor is the only one qualified to provide the requested service at the exclusion of all others, i.e. what makes this vendor uniquely qualified?

Maintenance can only be paid directly to the software vendor(ESRI). Resellers do not provide maintenance.

5. Describe the research that has been completed to insure that no other competition exists. Provide names of vendors contacted who are unable to perform the service.

This is stated in the license agreement. In addition, the vendor has provided a letter which lists the sole source products and which specifies that maintenance is only available through the vendor.

6. Have you requested a contract with the vendor at any time during the past twelve months? Yes No

If yes, please describe the service(s) provided and the amount agreed to be paid.

An enterprise license agreement has been requested, but the terms and costs are being negotiated.

7. How did you determine that the vendor's price was reasonable?

Maintenance is only provided by the software manufacturer, ESRI.

8. Which of the following best describes this sole source procurement? You may select more than one.

- One vendor is the only one qualified or eligible or is quite obviously the most qualified or eligible to provide the service.
- The procurement is of such a specialized nature or related to a specific geographic location that only a single source, by virtue of experience, expertise, proximity, or ownership of intellectual property rights, could most satisfactorily provide the service.
- Applicable law requires, provides for, or permits use of a sole source procurement.
- The federal government or other provider of funds for the services being purchased (other than the state of Iowa) has imposed clear and specific restrictions on the use of the funds in a way that restricts the procurement to only one vendor.
- The procurement is an information technology service that is systems software or an upgrade, or compatibility is the overriding consideration, or the procurement would prevent voidance or termination of a warranty, or the procurement would prevent default under a contract or other obligation.

Explain how this procurement fits the sole source situation(s) selected above.

The costs are for maintenance on existing software.

Kathryne Clark
DNR Staff Submitting Request (Signature)

12/28/2015
Date

Kathryne Clark
DNR Staff Submitting Request (Print Name)

Chuck Gipp
DNR Director or Designee (Signature)

1/11/2016
Date

Chuck Gipp
DNR Director or Designee (Print Name)

Quantity	Product Name	Order Date	Start Date	Expiration Date
5	ArcGIS 3D Analyst for Desktop Concurrent Use License	5/14/1998 - 1/11/2011	2/10/2015	2/9/2016
1	ArcGIS 3D Analyst for Desktop Concurrent Use License	5/8/1997	2/10/2015	2/9/2016
2	ArcGIS for Desktop Advanced Concurrent Use License	3/20/1998	2/10/2015	2/9/2016
9	ArcGIS for Desktop Advanced Concurrent Use License	2/27/1997 - 4/19/2013	2/10/2015	2/9/2016
1	ArcGIS for Desktop Advanced Concurrent Use License	9/11/2014	11/1/2015	2/9/2016
2	ArcGIS for Desktop Basic Concurrent Use License	7/8/1997	2/10/2015	2/9/2016
17	ArcGIS for Desktop Basic Concurrent Use License	6/1/1998 - 3/15/2012	2/10/2015	2/9/2016
6	ArcGIS for Desktop Basic Single Use License	8/1/2007 - 3/15/2012	2/10/2015	2/9/2016
1	ArcGIS for Desktop Basic Single Use License and Spatial Analyst Extension	8/29/2011	2/10/2015	2/9/2016
1	ArcGIS for Desktop Basic Single Use License	11/4/2014	1/1/2016	8/31/2016
15	ArcGIS for Desktop Basic Single Use License	5/27/2003	9/1/2015	8/31/2016
2	ArcGIS for Desktop Basic Single Use License	7/29/2003	9/1/2015	8/31/2016
5	ArcGIS for Desktop Basic Single Use License	6/1/2011	8/1/2015	7/31/2016
42	ArcGIS for Desktop Basic Single Use License	8/1/2011	8/1/2015	7/31/2016
2	ArcGIS for Desktop Basic Single Use License	8/3/2001	9/2/2015	9/1/2016
4	ArcGIS for Desktop Basic Single Use License	8/3/2001	9/2/2015	9/1/2016
1	ArcGIS for Server Enterprise Advanced (Windows) Up to Four Cores License	5/25/2007	2/10/2015	2/9/2016
1	ArcGIS for Server Enterprise Basic (Windows) Up to Four Cores License Migrated from ArcSDE 9.1 License	5/27/2007	2/10/2015	2/9/2016
1	ArcGIS Server Geoportal extension Standard Enterprise Up to Four Cores License	9/28/2010	11/1/2011	2/9/2012
1	ArcGIS Server GIS Portal Toolkit Extension for Version 9.3 and Earlier Enterprise for Windows Up to Four Cores License	9/28/2010	11/1/2011	2/9/2012
8	ArcGIS Spatial Analyst for Desktop Concurrent Use License	3/7/1997 - 1/10/2011	2/10/2015	2/9/2016
1	ArcGIS Spatial Analyst for Desktop Concurrent Use License	12/18/1996	2/10/2015	2/9/2016
1	ArcPad 7.x, 6.x or 5x License	8/13/2008		
1	ArcPad License	4/10/2015	6/1/2015	5/31/2016
1	ArcPad License	6/5/2009	9/1/2015	8/31/2016
1	ArcPad StreetMap Premium TomTom North America (USA and Canada) Street Data License	4/10/2015		
1	Esri Developer Network (EDN) Standard Term License	4/20/2013	2/10/2015	2/9/2016



SOLE SOURCE LETTER

Environmental Systems Research Institute, Inc. (Esri)
380 New York Street
Redlands, CA 92373
E-mail: jricks@esri.com

DATE: November 9, 2015

TO: To Whom It May Concern

RE: Esri Sole Source Justification for Geographic Information System Software

This letter confirms that Esri, as owner and manufacturer, is the sole-source provider of software maintenance (technical support plus Esri software updates/upgrades) for Esri products.

Esri is the sole-source provider of the following Esri products in the commercial, state, and local government marketplace:

- ArcGIS for Desktop & Extensions Subscription (Basic, Advanced, Standard)
- ArcGIS for Aviation Bundle
- ArcGIS for Desktop Advanced
- ArcGIS for Desktop Standard
- ArcGIS for Maritime Bundle
- ArcGIS for Server and extensions
- ArcGIS Online (excluding data owned by 3rd party providers)
- ArcGIS Runtime and Extensions
- Certain proprietary training courses
- Esri Business Analyst Online
- Esri Community Analyst
- Esri Business Analyst Server
- Esri Defense Mapping Bundle
- Esri Developer Network (EDN) subscriptions
- Esri MapStudio
- Esri Maps Products
- Esri Roads and Highways
- Portal & Hosted Portal for ArcGIS

In an effort to support diversity in federal contracting, Esri has authorized certain small businesses (see GSA Advantage! for details) as resellers of the following Esri products to eligible entities purchasing under a GSA Schedule. Esri GSA resellers cannot sell Esri software to state or local entities:

- ArcGIS for Aviation
- ArcGIS for Desktop Advanced
- ArcGIS for Desktop Standard
- ArcGIS for Maritime: Bathymetry
- ArcGIS for Maritime: Charting
- ArcGIS for Server and extensions
- Esri Business Analyst Server
- Esri Defense Mapping
- Esri Production Mapping

Esri distributes certain software licenses, *excluding those listed above*, through open-market value-added resellers and distributors. If you have further questions, please contact me at 909-793-2853, extension 1-1990.



Jackie Ricks



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Search:

KCLARK12292015 - Annual Maintenance for ArcGIS Licenses

Bid Information

Bid Number: KCLARK12292015
Title: Annual Maintenance for ArcGIS Licenses

Contact Information

Name: Michael Gulick
PA
Email: Michael.Gulick@dnr.iowa.gov
Phone: 515 725-8214
Fax:

Agency Information

Agency Name: Natural Resources Dept of (542)

Agency Contact Information

Name: Kim Alliss
Purchasing Agent
Address: Wallace State Office Bldg
502 E. 9th Street
Des Moines IA, 50319
Email: Kim.Alliss@dnr.iowa.gov
Phone: 515-725-0733
Fax:

Valid Date: From: Dec 31, 2015 at 1:45pm
Until: Jan 4, 2016 at 3:00pm

Created: Dec 29, 2015 - 1:52pm

Last Updated: Dec 30, 2015 - 10:13am

Bid Statistics

This Bid was last accessed on Jan 4, 2016 - 3:27pm

121 people have accessed this Bid.

Bid Description

THIS IS NOT A REQUEST FOR COMPETITIVE PROPOSALS. This is a notice of intent to award a sole source contract under Iowa Dept. of Administrative Rules 117.45. The Department believes ESRI is the only company who provides ArcGIS Maintenance.

Please contact Michael Gulick, DNR Purchasing Agent III, if you believe you can provide this service.

The Department of Natural Resources is seeking annual maintenance for the following licenses:

ArcGIS 3D Analyst for Desktop Concurrent Use License
ArcGIS 3D Analyst for Desktop Concurrent Use License
ArcGIS for Desktop Advanced Concurrent Use License
ArcGIS for Desktop Advanced Concurrent Use License
ArcGIS for Desktop Advanced Concurrent Use License
ArcGIS for Desktop Basic Concurrent Use License
ArcGIS for Desktop Basic Concurrent Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License and Spatial Analyst Extension
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Desktop Basic Single Use License
ArcGIS for Server Enterprise Advanced Windows Up to Four Cores License
ArcGIS for Server Enterprise Basic Windows Up to Four Cores License Migrated from ArcSDE 9.1 License
ArcGIS Server Geoportal extension Standard Enterprise Up to Four Cores License
ArcGIS Server GIS Portal Toolkit Extension for Version 9.3 and Earlier Enterprise for Windows Up to Four Cores License



STATE OF IOWA RFP Search Results

Your Search Results: 1

Reference Number: KCLARK12292015

Bid Closing Date: 01/04/2016

Contact Name: Kathryn Clark

Contact Phone: (515) 725-8354

Contact Email: kathryne.clark@dnr.iowa.gov

Contact Web URL:

Division: Department of Natural Resources

Procurement County:

Full RFP:

RFP Entry Date: 12/29/2015 1:41:23 PM

RFP Description:

Annual Maintenance for the following licenses:

ArcGIS 3D Analyst for Desktop Concurrent Use License

ArcGIS 3D Analyst for Desktop Concurrent Use License

ArcGIS for Desktop Advanced Concurrent Use License

ArcGIS for Desktop Advanced Concurrent Use License

ArcGIS for Desktop Advanced Concurrent Use License

ArcGIS for Desktop Basic Concurrent Use License

ArcGIS for Desktop Basic Concurrent Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License and Spatial Analyst Extension

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Desktop Basic Single Use License

ArcGIS for Server Enterprise Advanced (Windows) Up to Four Cores License

ArcGIS for Server Enterprise Basic (Windows) Up to Four Cores License

Migrated fr



Esri Inc
380 New York Street
Redlands CA 92373

Subject: Renewal Quotation

Date: 12/11/2015
To:
Organization: State of Iowa
Iowa Department of Natural Resources
Fax #: 515-725-8202 **Phone #:** 515-281-4583
From: Hannah Lehmann
Fax #: **Phone #:** 909-793-2853 Ext. 3485
Email: hlehmann@esri.com

Number of pages transmitted
(including this cover sheet): 7

Quotation #25713769
Document Date: 11/11/2015

Please find the attached quotation for your forthcoming term. Keeping your term current may entitle you to exclusive benefits, and if you choose to discontinue your coverage, you will become ineligible for these valuable benefits and services.

If your quote is regarding software maintenance renewal, visit the following website for details regarding the maintenance program benefits at your licensing level
<http://www.esri.com/apps/products/maintenance/qualifying.cfm>

All maintenance fees from the date of discontinuation will be due and payable if you decide to reactivate your coverage at a later date.

Please note: Certain programs and license types may have varying benefits. Complimentary User Conference registrations, software support, and software and data updates are not included in all programs.

Customers who have multiple copies of certain Esri licenses may have the option of supporting some of their licenses with secondary maintenance.

For information about the terms of use for Esri products as well as purchase order terms and conditions, please visit
<http://www.esri.com/legal/licensing/software-license.html>

If you have any questions or need additional information, please contact Customer Service at 888-377-4575 option 5.



esri

380 New York Street
Redlands, CA 92373
Phone: 909-793-28533485

Quotation
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Date: 11/11/2015

Quotation Number: 25713769

Item	Qty	Material#	Unit Price	Extended Price
4010	<i>17</i>	87195 ArcGIS for Desktop Basic Concurrent Use Secondary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	500.00	8,500.00
5010	1	87232 ArcGIS Spatial Analyst for Desktop Concurrent Use Primary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	500.00	500.00
6010	8	87233 ArcGIS Spatial Analyst for Desktop Concurrent Use Secondary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	200.00	1,600.00
7010	1	87198 ArcGIS 3D Analyst for Desktop Concurrent Use Primary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	500.00	500.00
8010	5	87199 ArcGIS 3D Analyst for Desktop Concurrent Use Secondary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	200.00	1,000.00
9010	1	93094 <i>F. Adams</i> ArcGIS for Desktop Basic with Extensions Single Use Primary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	1,000.00	1,000.00
10010	6	87193 ArcGIS for Desktop Basic Single Use Secondary Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	300.00	1,800.00
13010	1	108562 <i>2-915 3-7 Desktop 1- Parker</i> Esri Developer Network (EDN) Standard Term License Start Date: 02/10/2016 End Date: 02/09/2017	1,500.00	1,500.00
14010	1	109215 ArcGIS for Server Enterprise Advanced Up to Four Cores Maintenance Start Date: 02/10/2016 End Date: 02/09/2017	10,000.00	10,000.00



esri[®]

380 New York Street
Redlands, CA 92373
Phone: 909-793-28533485

Quotation

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Date: 11/11/2015

Quotation Number: 25713769

Item	Qty	Material#	Unit Price	Extended Price
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Subtotal	60,192.62
Estimated Tax	0.00
Total	\$ 60,192.62

DUNS/CEC: 06-313-4175 CAGE: 0AMS3